*Jus Cogens:*
Problem of the Role in Treaty Interpretation*

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Abstract
Due to their specific legal nature, the *jus cogens* rules occupy a special place and have conceptual significance in international law system in the vein that their non-compliance may, in fact, sabotage foundations of the international legal system based on states consent. Since the entry into force of the VCLT, *jus cogens* concept in international law has moved closer to international legal practice. A paradoxical situation exists - *jus cogens* concept in international law is generally accepted, there is also a normatively established definition of such a rule, but its specific framework and content remain unclear. The ICJ has repeatedly addressed the issue of *jus cogens* norms, but a detailed concept on *jus cogens* has not been formed. It is analyzed that the problem of establishing *jus cogens* is difficult to solve *in abstractio*. The reason lies not only in the absence of a single official list of norms *jus cogens* – the criteria for including norms in such a list are not defined. Opinions of representatives of the doctrine and the international judiciary on this issue differ significantly. Addressing the problem of the role and significance of *jus cogens*, general international law imperative rules, for the observance and interpretation of treaties, it should be noted that treaties are to be interpreted in a format compatible with the imperative norms. The considerations presented in the research indicate a special, if not decisive, role in the observance of *jus cogens* in treaties interpretation.

Keywords: International Law; VCLT; Treaties; Imperative Rules

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**Jus Cogens:**
**Masalah Peran dalam Interpretasi Perjanjian**

**Abstrak**

**Kata kunci:** Hukum Internasional; VCLT; Perjanjian; Aturan Imperatif

**Jus Cogens,**
Проблема Роли В Толковании Договора

**Аннотация**
Нормы jus cogens вследствие своей специфической правовой природы занимают особое место, имеют концептуальное значение в системе международного права в том смысле, что их несоблюдение может фактически подорвать основы международной правовой системы, которая опирается на согласие государств. Со времени своего включения в Венскую конвенцию о праве международных договоров 1969 г., концепция международного права jus cogens подошла к международной юридической практике. Доказано, что имеет место парадокс – концепция jus cogens в международном праве общепринятая, также существует нормативно-закрепленное понятие такой нормы, при этом ее рамки и содержание остаются неточными. МС ООН неоднократно касался проблематики норм jus cogens, однако не дал системного видения данного вопроса. Проблему определения jus cogens решить in abstracto сложно. Причина не только в отсутствии единого официального перечня норм jus cogens – не определены критерии включения норм в такой перечень. Мнения представителей доктрины и международного судейского корпуса по этому поводу существенно различаются. Приведенные в исследованиях соображения свидетельствуют об особой, если не решающей, роли jus cogens в процессе интерпретации международных договоров.

**Ключевые слова:** Jus cogens, международное право, интерпретация международных договоров, ВКПМД
A. INTRODUCTION

As an object of international legal research, *jus cogens* rules, due to their specific legal nature, occupy a special place in international law system. The question of *jus cogens*’ legal nature has always been of scientific interest, given the nature of these norms as imperative fundamental international law principles. The imperative nature of such norms of law is essential for understanding the nature of *jus cogens* rules.

*Jus cogens* - an imperative rule of general international law adopted and recognized by the global community as a whole as a rule from which deviation can be made and which can be changed only by another international law rule of a similar character (Linderfalk: 2011, 377). The *jus cogens* concept is an unchanging foundation of the international legal order designed to protect the fundamental interests and values of the global community of states, which is supported by the global community as a whole (Butkevych: 2015, 12).

The concept of *jus cogens* has a conceptual meaning in the sense that its non-compliance may, in fact, sabotage foundations of an international legal system found on states consent (Bossuyt: 2005, 72–98). Despite the fact that the doctrine of international public order concept is criticized for its domestic nature and more theoretical than practical significance, it should be recognized that the idea of the international order is an essential feature of modern international law (Lauterpach: 1958). It is essential that *jus cogens* are immediately linked with the prohibition of concluding treaties that violate human rights and reflect the basic international law principles, such as a sovereign equality principle or the principle of self-determination.

B. METHODS

One of the important stages of the research was to determine its methodology, based on a broad approach to analyzing the object and the subject. The approach foresaw the use of a wide range of philosophical, general scientific, special scientific, and legal methods. The epistemological method revealed the *jus cogens* nature and significance in treaties interpretation. The historical method allowed us to trace the evolution of the formation of *jus cogens* notion in international law.

The author’s conclusions are based on the results of a scientific analysis of the significant law enforcement practice of international courts (ICJ, ECtHR), carried out using the empirical method of research. An important methodological principle was the logical method of clear construction of the study: the logical sequence of studying independent but inextricably linked issues, allowed to reach a qualitatively new level.
of reflection of the subject of study and fully solve the objectives of the study.

The synergetic method as a qualitatively new approach in scientific cognition allowed to determine basic principles and patterns of functioning of interpretation subjects and the formation of their interpretive methodology. Various legal research methods were widely used in the analysis. In particular, the formal-legal method was used to analyze *jus cogens* functions. The comparative law method allowed to conduct a comparison application of *jus cogens* application in specific international law areas.

C. RESULT AND DISCUSSION

1. *Jus cogens*: nature, notion, basic approaches

In the international law theory, three main approaches to studying *jus cogens* have been formed: the notion of natural law, the notion of positivism, and the notion of international public order. Proponents of the natural law approach see the nature of the imperative character of *jus cogens* rules in the idea of a higher law, prevailing over other norms and going beyond the state’s consent or will (Dörr, Schmalenbach: 2018, 903, 908). At the same time, representatives of the positivist school assume the existence of any international law rules, including *jus cogens*, only as a consequence of the state’s consent to their legal force, although the representatives of extreme positivism - normativism (G. Kelzen, G. Schwarzenberger, etc.) reject the existence of *jus cogens* rules as rules, the application of which cannot be ruled out with the consent of states (Kelzen: 2004, 253–255).

Given the importance of the values that this rule protects, *jus cogens* was recognized as the rule, as noted by prof. Zadorozhniy O. V., (2015, 13) which in the hierarchy of international law rules resides at a higher level than the rules of contractual and customary law. No rule of either international or national law can be hierarchically higher than *jus cogens* rules (Grushko: 2018, 241). In other words, *jus cogens* should be considered as the international law basis.

However, despite considerable interest, no consensus has yet been reached in international doctrine and practice on the theoretical basis of the concept of *jus cogens* norms; moreover, in the process of their practical application, new, to some extent even contradictory, provisions are revealed. This explains the interest and consideration by the International Law Commission (ILC) during 2015-2017 of the *jus cogens* issue and the inclusion of the issue "Identification of customary international law" on the agenda of the ILC during the Seventieth Session of the UNGA. At this session, the ILC heard a Special Rapporteur report, Dire Tladi, on the implications and legal impact of mandatory international law (*jus cogens*). The ILC
took as a basis to analyze the essence of the notion of *jus cogens* the definition of general international law imperative rules contained in Art. 53 of the VCLT: A treaty is invalid if when it is concluded it contradicts an imperative general international law – *jus cogens*. Based on Diré Tlady's analysis, Draft Conclusion № 10 identified the grounds for a treaty's invalidity that contradicts a mandatory rule of general international law (*jus cogens*):

a) A treaty is invalid if, when it is concluded, it contradicts a mandatory rule of general international law (*jus cogens*).

b) An existing treaty shall become invalid. It shall cease to have effect if it contradicts a mandatory rule of general international law (*jus cogens*) that arises after the treaty conclusion.

c) To avoid a conflict with a universally binding rule of international law, the treaty provisions should be interpreted for it to become compatible with the mandatory rule of general international law (*jus cogens*).

The ILC also identified in Conclusion № 11 cases of conflict and mechanisms for their elimination between the provisions of an international treaty and an imperative norm (*jus cogens*), noting in paragraph 2 the following:

A treaty, becoming invalid as a consequence of the formation of a new mandatory rule of general international law (*jus cogens*) shall be terminated in its entirety, except in cases when:

a) provisions, contradicting the mandatory rule of general international law (*jus cogens*), in terms of application are distinct from the rest of the treaty;

b) provisions that are in violation of a mandatory rule of general international law (*jus cogens*) do not constitute a significant basis for a treaty-making agreement;

c) further performance of the rest of the treaty would not be unfair.


*Jus cogens* is a mandatory international law notion from the time it was created until it was included into the VCLT, although its concept, nature and content remain the subject of heated debate (Butkevych: 2015, 207-218). This is explained by the fact that neither the criteria according to which certain international law rules could be referred to this category, nor, moreover, the list of mandatory rules the VCLT did not
propose. The substantive coverage of *jus cogens* is limited. The prohibition of aggression, human trafficking, torture, genocide, apartheid, racial discrimination, rules and humanitarian law principles, the right to self-determination, and others do not objectively constitute a system that encompasses all other international law principles and rules. The UN Security Council has repeatedly addressed the issue of *jus cogens*, but, unfortunately, during all the years of its activity, a detailed concept on this issue of international law has not been formed. For a long time, the doctrine of *jus cogens* norms remained only the subject of scientific research, without manifesting itself either in international documents or in international practice.

Examples of the vagueness of *jus cogens*’ nature include the Jurisdictional Immunities of the State case. In resolving the dispute between Germany and Italy, the ICJ did not support Italy’s argument that Germany’s rules on jurisdiction immunity of other states’ courts should not be applied. This was argued by the fact that these rules contradicted the norms of *jus cogens*, which, according to Italy, were enshrined in the Geneva Conventions (it referred to Germany’s responsibility for crimes committed in Italy by the Nazis during World War II) (ICJ Reports. Judgment (3 February 2012), 92–95).

However, the emergence of an imperative concept, namely *jus cogens*, aims to make changes in the international legal order in the context of equality of states, in the sense that states must respect this rule. Today, the concept of *jus cogens*, reflected in Art. 53 of the VCLT as a rule of international customary law is generally accepted, although rarely used in public practice.

The *jus cogens* were first mentioned in 1986 by the International Court of Justice in its judgment in *Nicaragua v. United States* case, referring to UN Commission on International Law provisions that UN Charter provisions, prohibiting the use of force, are obvious example rules of international law with the nature of *jus cogens* (ICJ Reports. Judgment. Nicaragua v. United States of America). In 2002, the ICJ in another decision recognized the prohibition of genocide as the *jus cogens* rule (ICJ Reports. Judgment. Democratic Republic of the Congo v. Rwanda). In 2001, the prohibition of torture was recognized by the ECtHR in its Al-Adsani judgment as a *jus cogens* norm. (ECHR. Paras 34, 55).

H. J. Fitzmaurice, Lauterpach’s successor, presented *jus cogens* in the context of his concept of "substantial validity," which sought to assess the treaty’s validity. J. Fitzmaurice distinguished between the legality and morality of a treaty by defining a valid treaty as one whose content corresponds to or does not contradict the principles and rules of international law and is endowed with the *jus cogens* nature. Particularly, a treaty is void if its subject matter or performance provides for: a) a violation of the principles of the United Nations Charter; b) any act or inaction that
is characterized by international law as an international crime; or c) any act or inaction of repression or punishment, each of which necessitates international law's cooperation. The provisions of this Article, on the other hand, do not apply to a general multilateral treaty repealing or amending a jus cogens rule (Dörr, Schmalenbach: 2018, 958).

The Vienna Conference of 1969 supported the incorporation of a jus cogens provision. But the point of debate is the nature of jus cogens endowed with various actions - support for international peace, non-aggression, non-interference in the internal affairs of the state, sovereign equality of states, self-determination and the prohibition of trafficking, slavery, threat or use of force, genocide, forced labor, piracy, and racial discrimination, etc.

Analysing Art. 53 of the VCLT, Canadian professor of international law Michael Byers emphasizes signs of a positivist approach to its formation, arguing that customary international law rules acquire imperative status as a result of the state's confirmed consent. Although even among the positivist vision supporters, the author notes, there is an opinion that jus cogens goes beyond the usual interstate lawmakering (Byers: 1997, 66, (2, 220, 222). Jus cogens is an authorized means for the global community to help avoid regulatory fragmentation of public international law because it is neutral to private values and needs although not to the interests of the public (utilitas publica) (Kolb: 2015, 49).

The theory of E. Criddle and E. Fox-Decent, which claimed that states must adhere to imperative rules as the primary human dignity guarantees through the state sovereignty compliance, aims to explain jus cogens rules’ imperative status (Criddle, Fox-Decent: 2009, 387). T. Weatherall’s approach is also based on a socio-anthropologically focused understanding of jus cogens, such as that the global community of states fulfills mankind’s shared human needs in accordance with mankind’s interests and values (Weatherall: 2015, 444).

2. The issue of global community consensus on jus cogens

The jus cogens notion reflects the requirement of universality, given that the wording of Article 53 links mandatory rules with the approval of the "global community of states" as a whole. Taking the form of jus cogens, the global community of states itself is interested in the presence of customary rules and their adherence (ICJ Reports. Judgment (Nicaragua v. United States of America, Para 190).

General principles of law are an international law source and have the status of jus cogens. In terms of general international law, Art. 53 of the VCLT expressly
excludes any obligation with regard to a specific international law source, particularly those listed in subparagraph 1 of Art. 38 of the ICJ’s Statute.

In the meantime, according to the VCLT, the global community of states is endowed with a de facto law-making function, and the consensus of the components of this community of states, according to some researchers, should be considered as an autonomous international law source. This peculiarity of the global community may be caused by a specific of *jus cogens* rules, the formation of which differs significantly from the formation of other international law rules.

While focusing on universal practice, the ICJ expressly dismisses the idea of *jus cogens* as an autonomous international law source. In the case of bringing to trial or extradition, the prohibition of torture, according to the Court, is a part of customary international law and has become a compulsory rule (*jus cogens*). This prohibition is based on extensive international practice and states *opinion juris*. It appears in a number of international documents...> and is incorporated into almost every state’s national legislation. (ICJ Reports. Judgment (20 Juli 2012. Belgium v. Senegal).

Article 53 of the VCLT defines mandatory rules as rules from which deviation is not allowed: Ignoring the prohibition renders the treaty void and subjects all parties to the void contract to liability.

Despite the fact that Art. 53's purpose is to protect international law's fundamental rules, no legal sanction is provided (Linderfalk: 2011, 377). However, the process of modification is complicated by the requirement that the forthcoming rule to change the *jus cogens* should have an analogical character. It should be noted that the rule of modification, foreseen by the Art. 53, causes significant problems: unconditional customary norms are never allowed (e.g., the right to exercise jurisdiction); they are rarely mandatory (e.g., a command to liberate people from colonial rule), but are mostly prescriptive (e.g., the prohibition of coercion or inhuman behavior). As a result, rules that alter established imperative norms either broaden or narrow the scope of the prohibition or the obligation to act. The debate over humanitarian intervention as an exception to the use of force ban suggests that the "eternal" *jus cogens* extends beyond international realities. It is necessary to take into account the practical solution of this conflict: Art. 53’s requirement for a replacement can be interpreted as the conclusion that *jus cogens* is subject to revision if the global community as a whole accepts it.

Art. 53 of the VCLT describes the procedure concerning *jus cogens*. The phrase "accepted and recognized by the global community as a whole" is commonly interpreted as implying that the VCLT is founded on a positivist understanding of *jus cogens*. 
On the flip side, a multilateral treaty can quickly debunk the myth that the global community of states as a whole predicates the nature of *jus cogens* to customary rules reflecting basic treaty provisions. Suppose a multilateral treaty makes it possible for Parties to unilaterally withdraw from it. In that case, definitive proof is required to support the conclusion that the global community as a whole disallows the abolition of its analog.

General principles of law are the basis for creating *jus cogens*, as a minimum for any who denies the unambiguity of the positivist comprehension of Art. 53, thanks to their "natural-legal character." General principles of law recognized in national legislation cannot be considered unappealable in view of Art. 53 simply because they are recognized by most national legal systems. Contrastingly, the rules of *jus cogens* are derived from the core values to which the global community adheres, bind the entire global community without regard to objections.

Today, more than 50 years after the VCLT conclusion, the notion of *jus cogens* is not disputed. Constant objections' inadmissibility to customary laws, commonly acknowledged as *jus cogens*, explains by the fact that, as stipulated in Art. 53, an unappealable customary international law rule is a rule accepted and recognized by the global community. As all respective state-participants with these two essential preconditions of *jus cogens*, they refused to deny the global community's consensus on *jus cogens* permanently. It goes without saying that at the present stage of solving the problem of *jus cogens* rules, it is more expedient to start not so much with the substantiation of their imperative, but with the definition of a specific goal, the achievement of which is possible as a result of the *jus cogens* concept. This is not about general goals, such as "maintaining peace", "security of the global community", etc. For such purposes, the search for new approaches to *jus cogens*’ norms is simply unnecessary. It is about the practical significance in the context of new challenges and threats, which the global community have not yet been encountered, justifying the need to develop the concept of *jus cogens* further and create the conditions for its progressive development (Dörr, Schmalenbach, 2018). The most significant practical interest attracts grounds for their classification as such but in Art. 53 they are not defined.

3. Interpretation as a way to resolve the conflict between treaty provisions and *jus cogens*

Whenever a party to two agreements is unable to fulfill its obligations under both agreements at the same time, a regulatory conflict occurs. In other
words, there is a normative conflict when the operation of one rule interferes with the function of another. A normative conflict arises when a treaty provision either permits or prohibits actions defined by *jus cogens*. (Orakhelashvili: 2006, 138).

Application of Art. 31 to both rules should resolve the problem if a normative conflict between treaty provisions and *jus cogens* exists. In its decision in the *Al-Adsani case*, for example, the ECTHR stated:

Despite the unique nature of the international law prohibition on torture, the ECHR cannot find grounds in available international documents, judicial bodies, or other law sources to conclude that, as a matter of international law, a State no more has immunity from civil action in the judicial system of other nations where torture acts are envisaged (ECHR. Para 61).

In the event of a normative conflict between treaty provisions and *jus cogens*, the treaty – not just the provisions that contradict *jus cogens* – is invalid under Art. 53 in conjunction with paragraph 5 of Art. 44. A treaty’s invalidity is absolute, which means that the treaty does not operate at the international level for both the participants and other international actors.

*jus cogens* is the highest rule in a legal hierarchy. In the global community’s interests, the prohibition on derogations from *jus cogens* prohibits any conflicting legal acts or situations. In the *Genocide Case* (1993), for example, ICJ Judge H. Lauterpacht stated that "the concept of *jus cogens* operates as a concept that transcends both customary international law and the treaty."

Predicated on the belief that civilized peoples recognize general principles of law (Article 38 (1) (c) of the ICJ Statute), the normative conflict between general principles and *jus cogens* indicates sharp methodological issues. A normative conflict between a unilateral act with legal consequences and *jus cogens* is logically impossible but not uncommon. In its Consultative Opinion on Kosovo’s Declaration of Independence (2010), the International Court of Justice examined the Security Council’s practice of condemning unilateral declarations of independence and reached a conclusion: the illegality related to independence declarations stems not from their unilateral nature but from the fact that they were or would be related to the unlawful use of force or violations of general international law, particularly those with imperative character (jus cogens) (ICJ Reports. Para 81). A unilateral act is null and void in the event of normative conflict and thus cannot be used by the declaring body, the state, or any other states.

At the Seventieth Session of UNGA (April 30 - June 1 and July 2 - August
10, 2018) in the Concluding Observations of the ILC Dire Tladi shared the opinion of the Commission members on the importance of properly presenting the implications of *jus cogens* for the international legal system strength. He confirmed that the topic's purpose is not to develop new rules, but to make existing rules more accessible and understandable. Mister Tladi emphasized that the Commission's task was to accurately assess practice, along with other sources normally relied on by the Commission, in order to achieve the most accurate description of existing international law. It is important to note, that many of the draft conclusions proposed by him contain wording taken from the VCLT, although the latter's structure was developed taking into account not only *jus cogens* rules.

With regard to the proposed draft opinions, Dire Tladi supported the proposal to create a single draft conclusion containing a general rule of interpretation to apply to all sources of international law. Such a rule must comply with interpretation rules stipulated by the VCLT. It was also emphasized that the main principle for such an interpretation should be honesty. The *pacta sunt servanda* principle is one of essential factors for the application of a thorough and consistent approach to treaties interpretation, and, if possible in accordance with *jus cogens*, such an approach will always be more effective than the termination of the treaty (UNGA (2018). Seventy Session).

Alternatively, the may become legally binding as customary international law rules perceived as such by non-participating parties (Article 38 of the VCLY). In this light, the global community perceives this definition: it emerges from the common practice of states, international judicial bodies, and special academic literature. As Thomas Weatherall noted, although the VCLT deals with the law of treaties and is binding only for signatories, <…> Art. 53 became a reflection of the concept according to which the legal action goes beyond the contractual context (Weatheral, 2015: 6).

Consideration of the cases by the ICJ is carried out in strict compliance with the standards of *jus cogens*, which are prescribed in the VCLT as the basis of the mechanism of their recognition, as well as good faith principles and giving the terms their usual meaning. Thus, in a dissenting opinion of Judge Nabil Elaraby in the case of Serbia and Montenegro v. Belgium on the legality of the use of force and genocide in interpreting the phrase "existing treaties" was expressed the intention to consider peace agreements after World War II as a settlement, and also as elimination of violations of the contractual *jus cogens* rules (Separate opinion of Judge Elaraby. (9 Juli 2004). A point was made that, in the light of the UN Charter, the 1948 Convention on the Prevention and Punishment of the Crime of Genocide can be considered a peace treaty because it was adopted soon after the war's end; it prohibited genocide as a crime against
international law; and it was the first postwar human rights treaty that actually meant "correcting violations" of _jus cogens_.

In Commission’s Report on International Law during its 70th Session (item 82 of the Agenda, continuation) (A / 73/10), Madame Hiureas (Cyprus), referring to the topic “Imperative norms of general international law (_jus cogens_)” in the light of the law of treaties, noted that treaties should be interpreted in a format compatible with mandatory rules. Indeed, prior to the adoption of the draft articles of the Commission on the Law of Treaties and the VCLT, many states, including Cyprus, invoked _jus cogens_. The ILC stressed that in the context of the provisions of Art. 53 of the VCLT, it is essential to examine the possible annulment of the binding force of a _jus cogens_ rule, the determination of who defines whether a treaty contradicts the rule (regulatory conflict), and the possible legal consequences of a potential (possible) interpretative conflict. The ILC also recommended avoiding any decisions that could lead to any deviation from the Convention, or ones to be interpreted as such.

**D. CONCLUSIONS**

Hence a paradox exists – _jus cogens_ notion in international law is generally accepted, there is a normatively established definition of such a rule, but its specific framework and content remain uncertain: there is no list or clear criteria by which a rule can be classified as mandatory. The ICJ has repeatedly examined the notion of _jus cogens_ rules, but has not developed a detailed concept on this issue.

The problem of establishing _jus cogens_ is difficult to solve in _abstractio_. The reason lies not only in the absence of a single official list of _jus cogens_ rules – the criteria for including rules in such a list are not defined. Opinions of representatives of the doctrine and the international judiciary on this issue differ significantly. In particular, one proposes to consider as _jus cogens_ rules all or most of the general international humanitarian law rules and _pacta sunt servanda_ principle.

The considerations presented in this research show a special, if not decisive, role in _jus cogens_’ observance by national and international courts. However, the main threat to _jus cogens_ doctrine itself is judges’ tendency to see these norms everywhere, without properly examining the extent to which they are agreed by the global community. Regarding the role and significance of _jus cogens_ or general international law imperative rules, in the adherence and interpretation of treaties, it goes without saying that treaties are to be interpreted in a manner compatible with imperative norms.
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